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Typed or Printed Name of Person Mailing Paper or Fee: Patricia Aguillon

Signature: Patricia Aguillon

PATENT

Docket No. P1387

FEB 28 2002

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: MING-HUANG LAN  
LI-YU WU

ART UNIT: 1761

SERIAL NO.: 10/023,362

EXAMINER: UNKNOWN

FILED: DECEMBER 14, 2001

FOR: INCUBATION METHOD FOR  
OBTAINING SOLID CULTURE  
OF ZANG ZHI, SOLID CULTURE  
OBTAINED THEREFROM, PROCESSED  
PRODUCTS AND USE THEREOF

COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

TRANSMITTAL LETTER

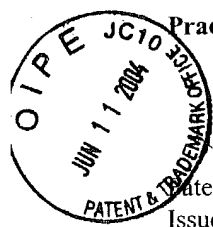
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Dear Sir:

In connection with the above-referenced utility patent application, transmitted herewith are the following:

1. Request for Correction of Inventorship (2 pages);
2. Inventors' Statement (2 pages);
3. Declaration and Power of Attorney (2 pages);
4. Assignment Recordation Cover Sheet (1 page);
5. Assignment Agreement (2 pages);
6. Check No. 8993 in the amount of \$130.00 to pay the processing fee;

cafc



Practitioner's Docket No. U 014736-5

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

10/023362

Patent Number: US 6,740,517 B2  
Issued: May 25,, 2004  
Name of Patentee: Ming-Huang LAN, et al.  
Title of Invention: INCUBATION METHOD FOR OBTAINING SOLID CULTURE OF ZANG ZHI, SOLID CULTURE OBTAINED THEREFROM, PROCESSED PRODUCTS AND USE THEREOF

Certificate of Correction Branch  
Director of the United States Patent and Trademark Office  
P. O. Box 1450  
Alexandria, VA 22313-1450

Certificate  
JUN 15 2004  
of Correction

ATTENTION: Certificate of Correction Branch  
of the Office of Patent Publication

REQUEST FOR CERTIFICATE OF CORRECTION OF PATENT  
FOR PTO MISTAKE (37 C.F.R. §1.322(a))

NOTE: "If such a request for correction was incurred through the fault of the United States Patent and Trademark Office (Office), and is clearly disclosed in the records of the Office, and is accompanied by documentation that unequivocally supports the patentee's assertion(s), a Certificate of Correction will be expeditiously issued. Such supporting documentation can consist of relevant photocopied receipts, manuscript pages, correspondence dated and received by the Office, photocopies of Examiners' responses regarding entry of amendments, or any other validation that supports the patentee's request so that the request can be processed without the patent file." Notice of September 17, 2002, 1262 OG 96.

1. Attached is Form PTO—1050 (PTO/SB/44) suitable for printing.

NOTE: Form PTO-1050 (or PTO/SB/44), using the column and line number in the printed patent, should be used exclusively regardless of the length or complexity of the subject matter. M.P.E.P. § 1485, 7<sup>th</sup> ed.

NOTE: The patent grant should be retained by the patentee. The PTO does not attach the Certificate of Correction to the patentee's copy of the patent. The patent grant will be returned to the patentee if submitted. M.P.E.P. § 1485, 8<sup>th</sup> ed.

2. The exact page and line number where the errors are shown correctly in the application file are:

NOTE: The exact page and line number where the errors occur in the application file should be identified on the request. However, on form PTO/SB/44, only the column and line number in the printed patent should be used. M.P.E.P. § 1480, 8<sup>th</sup> Edition.

Transmittal of February 28, 2002 (14 pages, copy attached) and its receipt (1 page, copy attached).

8  
Date: June 7, 2004

15 JUN 2004

3. **Please send the Certificate to:**

Name: Clifford J. Mass


Address: c/o Ladas & Parry LLP  
26 West 61<sup>st</sup> Street  
New York, NY 10023

(complete, if applicable)

\_\_\_\_\_  
**Signature(s) of patentee(s)**

or

\_\_\_\_\_  
**Signature of assignee or person authorized to sign  
on behalf of assignee**

  
MING-HUANG LAN AND LI-YU WU  
(type or print name of assignee)

☒ Assignment recorded on  
February 28, 2002

Reel 012652  
Frame 0300

Clifford J. Mass, 30086, (212) 708-1890  
(type or print name of authorized person signing)

attorney of record who acts on behalf of assignee  
Title of authorized person signing

☐ Recordal of assignment attached

☐ Attached is a "STATEMENT UNDER 37 CFR 3.73(b)," establishing the right of the assignee to take action in this case.

NOTE: "A certificate of correction, under 35 U.S.C. 254, may be issued at the request of the patentee or [the patentee's] assignee." 37 C.F.R. § 1.322(a). The certificate of correction can be signed by the attorney of record who acts on behalf of the inventor(s) or assignee(s).

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(Also Form PTO-1050)

**UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION**

PATENT NO. : US 6,740,517 B2  
DATED : May 25, 2004  
INVENTOR(S): Ming-Huang LAN, et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On title page, item 76, " 7F, No. 9, Lane 191, Sec. 2, Di-Hua St., Taipei (TW);  
Li-Yu Wu," should read -- Chang-Chou Lan, Chung-Liang Lan, Chung-Min Lan, all  
of --; and insert -- [73] Assignee: Ming-Huang Lan and Li-Yu Wu, Taipei, TW --.

MAILING ADDRESS OF SENDER:

Clifford J. Mass  
c/o Ladas & Parry LLP  
26 West 61<sup>st</sup> Street  
New York, N.Y. 10023  
Reg. No. 30086  
Tel. No. (212) 708-1890

PATENT NO. US 6,740,517 B2

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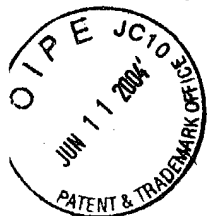
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Signature: Patricia Aguillon



PATENT  
Docket No. P1387

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: MING-HUANG LAN  
LI-YU WU

ART UNIT: 1761

SERIAL NO.: 10/023,362

EXAMINER: UNKNOWN

FILED: DECEMBER 14, 2001

FOR: INCUBATION METHOD FOR  
OBTAINING SOLID CULTURE  
OF ZANG ZHI, SOLID CULTURE  
OBTAINED THEREFROM, PROCESSED  
PRODUCTS AND USE THEREOF

COPY

COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

TRANSMITTAL LETTER

Dear Sir:

In connection with the above-referenced utility patent application, transmitted herewith are the following:

1. Request for Correction of Inventorship (2 pages);
2. Inventors' Statement (2 pages);
3. Declaration and Power of Attorney (2 pages);
4. Assignment Recordation Cover Sheet (1 page);
5. Assignment Agreement (2 pages);
6. Check No. 8993 in the amount of \$130.00 to pay the processing fee;

7. Check No. 8994 in the amount of \$40.00 to pay the recordation fee; and
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Please date-stamp the enclosed post card and return same to the undersigned in acknowledgment of receipt of all transmitted materials.

Respectfully submitted,



Mark E. Andrews  
Reg. No. 43,472

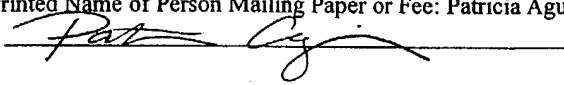
MEA\rm  
February 28, 2002  
LARIVIERE, GRUBMAN & PAYNE, LLP  
P.O. Box 3140  
Monterey, CA 93942  
(831) 649-8800

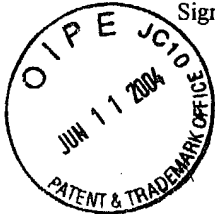
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Typed or Printed Name of Person Mailing Paper or Fee: Patricia Aguillon

Signature: 



PATENT  
Docket No. P1387

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: MING-HUANG LAN  
LI-YU WU

ART UNIT: 1761

SERIAL NO.: 10/023,362

EXAMINER: UNKNOWN

FILED: DECEMBER 14, 2001

FOR: INCUBATION METHOD FOR  
OBTAINING SOLID CULTURE  
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OBTAINED THEREFROM, PROCESSED  
PRODUCTS AND USE THEREOF

COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

REQUEST FOR CORRECTION OF INVENTORSHIP

Dear Sir:

In accordance with 37 CFR §1.48(a), the Applicants respectfully submit this Request for Correction of Inventorship for the patent application identified above.

STATEMENT

The Applicants submit that the patent application identified above did not correctly name the inventors in the filing of the initial utility patent application. The Applicants submit that this error was made without deceptive intent.



The error was made without deceptive intent by the Assignees' attorneys in Taipei, Taiwan, who incorrectly identified the Assignees as the inventors in their instruction letter to the attorneys of record. On January 21, 2002, the attorneys of record were notified by the Taiwan attorneys of the inventorship error.

The correct inventors for the patent application identified above are: Ming-Huang LAN; Chang-Chou LAN; Chung-Liang LAN and Chung-Min LAN. The inventors have signed the enclosed statement affirming that each is an inventor for the patent application identified above.

Please delete Li-Yu WU as an inventor. Ms. Wu has signed the enclosed statement affirming that she is not an inventor for the patent application identified above.

This Request to Correct Inventorship is accompanied by a check in the amount of \$130 in accordance with the fees set in 37 CFR §1.17(i).

The Applicants respectfully request the Commissioner to grant this Request for Correction of Inventorship for the patent application identified above.

Respectfully submitted,



Mark E. Andrews  
Reg. No. 43,472

MEA/rm  
February 28, 2002  
LARIVIERE, GRUBMAN & PAYNE, LLP  
P.O. Box 3140  
Monterey, CA 93940  
(831) 649-8800



PATENT  
Docket No. P1387

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: MING-HUANG LAN  
LI-YU WU

SERIAL NO.: 10/023,362

FILED: DECEMBER 14, 2001

FOR: INCUBATION METHOD FOR OBTAINING SOLID CULTURE OF  
ZANG ZHI, SOLID CULTURE OBTAINED THEREFROM,  
PROCESSED PRODUCTS AND USE THEREOF

---

COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

STATEMENT BY INVENTORS

Dear Sir:

The patent application referenced above was filed on December 14, 2001, naming the inventor applicants identified above. The applicants' names were provided by the Taiwan representative for the applicants. After filing of the U.S. patent application identified above, the Taiwan representative realized a mistake in the naming of the inventors and notified the U.S. attorneys of record of the error, namely, identifying the assignees as the inventor applicants.

The true and complete inventors for the patent application identified above are Ming-Huang LAN, Chang-Chou LAN, Chung-Liang LAN and Chung-Min LAN, as evidenced by their signatures below.

Li-Yu WU is not an inventor for the patent application identified above, as evidenced by her signature below.

The inventors and assignees submit that the error in inventorship was made without deception intent on their part, and that as soon as the error was identified, an immediate effort to correct the inventorship was undertaken.

The Applicants respectfully request the Commissioner to grant this Request for Correction of Inventorship for the patent application identified above.

SIGNED:

YES, I am an inventor for the patent application identified above as U.S. Serial No. 10/023,362.

Ming-Huang LAN  
Ming-Huang LAN

2.20.02  
Date

Chang - Chou LAN  
Chang-Chou LAN

2.20.02  
Date

Chung-Liang LAN  
Chung-Liang LAN

2.20.02  
Date

Chung - Min LAN  
Chung-Min LAN

2.20.02  
Date

NO, I am not an inventor for the patent application identified above as U.S. Serial No. 10/023,362. I am, however, one of the Assignees for the patent application identified above.

Li-Yu WU  
Li-Yu WU

2.20, 2002  
Date

EV062921548US

**Declaration and Power of Attorney  
for Patent Application**

ATTORNEY'S DOCKET NO.  
P1387

As the below named inventors, I/we hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I/We believe we are the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

INCUBATION METHOD FOR OBTAINING SOLID CULTURE OF ZANG ZHI SOLID CULTURE  
OBTAINED THEREFROM, PROCESSED PRODUCTS AND USE THEREOF

the specification of which ☐ is attached hereto.  
☒ was filed on December 14, 2001  
Application Serial No. 10/023,362  
and was amended on \_\_\_\_\_

I/We hereby state that we have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I/We acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

I/We hereby claim foreign priority benefits under Title 35, United States Code, Section 119, of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s) (Number/Country/Date Filed/Priority Claims: Yes/No)

NO

I/We hereby claim the benefit under Title 35, United States Code, Section 120, of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, we acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56(a), which occurred between the filing date of the prior application and the national or PCT international filing date of this application (list application Serial No./Filing Date/Status):

NONE

POWER OF ATTORNEY: As the named inventors, I/we hereby appoint the following to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

USPTO Customer No. 24,394  
LaRIVIERE, GRUBMAN & PAYNE, LLP  
P.O. Box 3140  
Monterey, CA 93924

SEND CORRESPONDENCE TO:  
USPTO Customer No. 24,394  
LaRiviere, Grubman & Payne, LLP  
P.O. Box 3140  
Monterey, CA 93942-3140

DIRECT TELEPHONE CALLS TO:  
Name: Mark E. Andrews  
Telephone: (831) 649-8800

I/We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or 1st or joint inventor: Ming-Huang LAN

Inventor's Signature: Ming-Huang LAN Dated: 2-20,02  
Residence: 7F, No. 9, Lane 191, Sec. 2, Di-Hua Street, Taipei, Taiwan, R.O.C. Citizenship: R.O.C.  
Post Office Address: 7F, No. 9, Lane 191, Sec. 2, Di-Hua Street, Taipei, Taiwan, R.O.C.

Full name of sole or 2nd or joint inventor: Chang-Chou LAN

Inventor's Signature: Chang - Chou LAN Dated: 2-20,02  
Residence: 7F, No. 9, Lane 191, Sec. 2, Di-Hua Street, Taipei, Taiwan, R.O.C. Citizenship: R.O.C.  
Post Office Address: 7F, No. 9, Lane 191, Sec. 2, Di-Hua Street, Taipei, Taiwan, R.O.C.

Full name of sole or 3rd or joint inventor: Chung-Liang LAN

Inventor's Signature: Chung - Liang LAN Dated: 2-20,02  
Residence: 7F, No. 9, Lane 191, Sec. 2, Di-Hua Street, Taipei, Taiwan, R.O.C. Citizenship: R.O.C.  
Post Office Address: 7F, No. 9, Lane 191, Sec. 2, Di-Hua Street, Taipei, Taiwan, R.O.C.

Full name of sole or 4th or joint inventor: Chung-Min LAN

Inventor's Signature: Chung - Min LAN Dated: 2-20,02  
Residence: 7F, No. 9, Lane 191, Sec. 2, Di-Hua Street, Taipei, Taiwan, R.O.C. Citizenship: R.O.C.  
Post Office Address: 7F, No. 9, Lane 191, Sec. 2, Di-Hua Street, Taipei, Taiwan, R.O.C.

## PATENTS ONLY

Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Ming-Huang LAN; Chang-Chou LAN; Chung-Liang LAN;  
Chung-Min LANAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 2. Name and address of receiving party(ies):

Ming-Huang LAN &amp; Li-Yu WU

Internal Address: \_\_\_\_\_

Street Address: 7F, No. 9, Lane 191, Sec. 2, Di-Hua Street

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other \_\_\_\_\_

City: Taipei State: \_\_\_\_\_ Zip: \_\_\_\_\_

Country: Taiwan R.O.C.

Additional name(s) & Address(es) attached? ☐ Yes ☒ NoExecution Date: Feb. 2, 2002; Feb. 2, 2002; Feb. 2, 2002;  
Feb. 2, 2002

## 4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

10/023,362

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: LaRiviere, Grubman &amp; Payne, LLP

Internal Address: Mark E. Andrews

Street Address: P.O. Box 3140

City: Monterey State: CA Zip: 93942

## 6. Total number of applications and patents involved:

One

7. Total fee (37 CFR 3.41): .....\$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

## 8. Deposit Account number:

(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Mark E. Andrews

Name of Person Signing

Reg. No. 43,472

Signature

Feb. 28, 2002

Date

Total number of pages comprising cover sheet 1

15 JUN 2004

## ASSIGNMENT AGREEMENT

FOR good and valuable consideration, receipt of which is hereby acknowledged,

Ming-Huang LAN, of Taipei, Taiwan, R.O.C.

Chang-Chou LAN, of Taipei, Taiwan, R.O.C.

Chung-Liang LAN, of Taipei, Taiwan, R.O.C.

Chung-Min LAN, of Taipei, Taiwan, R.O.C.

hereinafter ASSIGNORS, do hereby sell, assign and transfer unto **MING-HUANG LAN and LI-YU WU**, doing business in **Taipei, Taiwan, R.O.C.**, hereinafter ASSIGNEES, its successors and assignees, the entire title, interest and right, including the right of priority, in an application for Letters Patent of the United States entitled **INCUBATION METHOD FOR OBTAINING SOLID CULTURE OF ZANG ZHI, SOLID CULTURE OBTAINED THEREFROM, PROCESSED PRODUCTS AND USE THEREOF**, filed on December 14, 2001, and assigned U.S. Serial No. 10/023,362, and the inventions and any of them therein set forth and described, and any and all Letters Patent of the United States and of countries foreign thereto which may be granted thereon or therefor, and any and all provisional, divisional, reissue, continuation, substitute or renewal applications thereof which have been or shall be filed in the United States and any and all equivalents thereto in any and all foreign countries;

And for the above consideration ASSIGNORS covenant to ASSIGNEES, under identical terms herein, any and all improvements which have been or shall be developed by ASSIGNORS regarding subject matter herein;

And for the above consideration ASSIGNORS agree promptly upon request of the ASSIGNEES, its successors and assignees, to execute and deliver without further compensation any power of attorney, assignment, application (whether original, continuation, renewal, substitute, divisional or reissue) or other papers which may be necessary or desirable fully to secure to ASSIGNEES, its successors and assignees, the inventions and any of them described in said application and patent rights therein; in the United States and in any country foreign thereto, and to cooperate and assist in the prosecution of appeal and interference proceedings involving said inventions and/or in the adjudication or re-examination of said Letters Patent, provided that the expenses which may be incurred by ASSIGNORS in lending such cooperation and assistance be paid by ASSIGNEES; and

ASSIGNORS covenant that no assignment, grant, mortgage, license, or other agreement affecting the rights and property herein conveyed has been made to others by ASSIGNORS, and that full right to convey the same as herein expressed is possessed by ASSIGNORS.

This agreement constitutes the entire agreement of the parties and supersedes and cancels any and all prior and/or contemporaneous utterances, statements, representation, understandings and/or agreements whether oral and/or written in connection with this agreement.

IN WITNESS WHEREOF, ASSIGNORS agree to the above-mentioned terms and conditions as evidenced by their signatures below:

2.20, 02  
Date

Ming-Huang LAN  
Ming-Huang LAN

2 20, 02  
Date

Chang-Chou LAN  
Chang-Chou LAN

2.20, 02  
Date

Chung-Liang LAN  
Chung-Liang LAN

2 20, 02  
Date

Chung-Min LAN  
Chung-Min LAN



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*Robert Payne*  
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LA RIVIERE, GRUBMAN & PAYNE, LLP

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COMMISSIONER OF

Check Number: 8993  
Check Date: Feb 28, 2002

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Title: INCUBATION METHOD FOR OBTAINING SOLID CULTURE OF ZANG ZHI,  
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